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41405

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02/21/2006

NORTHERN POWER SYSTEMS, INC.
10 TECHNOLOGY DRIVE
WALLINGFORD, CT 06492

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Nancy L. Laramore (Depositor's name)
Dave S. Christensen (Signature)
2/27/2006 (Date)

02/27/2006 HDEMESS2 00000068 503125 10709176

01 FC:2501 700.00 DA
 02 FC:1504 300.00 DA

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10709,176	04/19/2004	Gannett Bywaters		3175

TITLE OF INVENTION: DIRECT DRIVE WIND TURBINE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	05/22/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
PONOMARENKO, NICHOLAS	2834	290-055000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Northern Power Systems, Inc.

182 Mad River Park, Waitsfield, VT 05673

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
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5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims **SMALL ENTITY** status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming **SMALL ENTITY** status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. **NOTE:** The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Dave S. Christensen

Date February 27, 2006

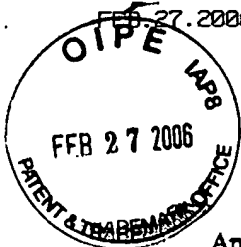
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Dave S. Christensen

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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 C.F.R. 1.8)

Applicant: Garrett Bywaters et al.)
Serial No.: 10/709,176) Group Art Unit: 2834
Filed: April 19, 2004)
For: DIRECT DRIVE WIND TURBINE) Examiner:
Nicholas Ponomarenko

I hereby certify that the following documents are being transmitted to the United States Patent and Trademark Office at facsimile number 571-273-2885 on February 27, 2006.

Nancy L. Tracanna
203-678-2342

Documents Enclosed:

Description	No. of Pages
Fee(s) Transmittal	1
TOTAL :	1